

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (12/97)
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. OR209

Total Pages 35

First Named Inventor or Application Identifier

ELKINS

Express Mail Label No. EI107215746US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form Duplicate submitted
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 15]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 6]
4. Oath or Declaration [Total Pages 1]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ 2 pages including cover sheet and duplicate cover sheet
Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure ☐ Copies of IDS
Statement (IDS/PTO-1449) 4 Citations
3 pages including IDS and Citation
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☒ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: 3 pages for:
Certificate of Express Mailing
Check for \$476
Petition to Make Special 1.102(c)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here):

NAME

DAVID R. GILDEA, REG. NO. 38,465

ADDRESS

435 HERMOSA WAY

CITY

MENLO PARK

STATE

CA

ZIP CODE

94025

COUNTRY

USA

TELEPHONE

650-853-0189

FAX

650-324-9111

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PATENT APPLICATION TRANSMITTAL LETTER

Applicant: William Elkins

Title: COMPLIANT HEAT EXCHANGE PANEL

Calculation of fees payable for filing of patent application:

	Number filed	Number std	Number extra	Rate	Fee
Basic filing fee					\$790
Total claims	19	20	0	\$22	\$0
Independent claims	4	3	1	\$82	\$82
Multiple dependent claims present	0	0	0	\$270	\$0
Intermediate total					<hr/> \$ 872
Small Entity intermediate total					\$436
Fee for recordation of assignment of patent application					\$40
Total					<hr/> \$476


A check in the amount of the total is enclosed to cover the filing fees.

Please address all future correspondence to:

David R. Gildea, 435 Hermosa Way, Menlo Park, CA 94025, telephone (650) 853-0189

Date: 07/31/98

Docket No. OR209



David R. Gildea
Reg. No. 38,465

0012730" 952/2160

Applicant: William Elkins
Title: COMPLIANT HEAT EXCHANGE PANEL
U.S. Serial No. _____
Date Filed: _____

Group Art Unit: _____
Examiner: _____
Docket No. OR209

Commissioner of Patents and Trademarks
Washington, D.C. 20231

PETITION TO MAKE SPECIAL UNDER 37 CFR 1.102(c)


I, William Elkins of 7081 Galli Drive, San Jose, California 95129-3730, applicant for a patent application:

Entitled: COMPLIANT HEAT EXCHANGE PANEL; with an
execution date: 07/30/98; and a Docket No. OR209

hereby petition for the application to be made special and advanced out of turn due to my age.

I hereby state that I am 69 years old; I was born December 20, 1928; and my California drivers license number is M0202794.

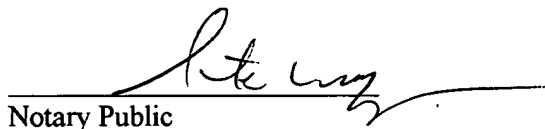
IN TESTIMONY WHEREOF, the applicant has hereunto signed his name this 30 day of*
JULY, 1998


signature of William Elkins

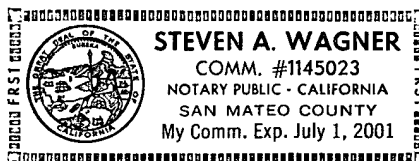
Before me STEVEN A. WAGNER, a Notary Public duly commissioned and sworn,
personally appeared

WILLIAM ELKINS,
_____ personally known to me or X proved to me on the basis of satisfactory evidence to be the
person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that
he/she/they executed the same in his/his/their authorized capacity(ies), and that by his/his/their
signature on the instrument the person(s) or the entity upon behalf of which the person(s) acted
executed the document.

IN WITNESS WHEREOF I have hereunto set my hand and affixed my official seal in CALIFORNIA
County of SAN MATEO on the date set forth in this certificate.


Notary Public

My commission expires: 7/1/01



00123456789

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William Elkins
Title: COMPLIANT HEAT EXCHANGE PANEL
U.S. Serial No. _____
Date Filed: _____


Group Art Unit: _____
Examiner: _____
Docket No. OR209

Commissioner of Patents and Trademarks
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING (37 C.F.R. 1.10)

I hereby certify that the above identified patent application was deposited by me with the U.S. Postal Service Express Mail with Receipt No. EI107215746US with postage prepaid and addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 at Menlo Park, California on 07/31/98.

Date: 07/31/98


David R. Gildea
Reg. No. 38,465

jc511 U.S. PTO
09/127256
07/31/98